

**SECRETARIAL COMPLIANCE REPORT OF QUADRANT TELEVENTURES
LIMITED FOR THE YEAR ENDED 31ST MARCH 2026**

We M/s B.K. Gupta & Associates have examined:-

- (a) all the documents and records made available to us and explanation provided by **QUADRANT TELEVENTURES LIMITED** ("the listed entity"),
- (b) the filings/submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March 2026 ("Review Period") in respect of compliance with the provisions of:

- (i) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (ii) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and exchange Board of India ("SEBI");

The specific regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (*Not applicable during the audit period*)
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (*Not applicable during the audit period*)
- e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; (*Not applicable during the audit period*)
- f) Securities and Exchange Board of India (Issue and Listing of Non- Convertible Securities) Regulations, 2021; (*Not applicable during the audit period*)
- g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

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and other regulations as applicable and circulars/guidelines issued thereunder; Based on the above examination, we hereby report that, during the Review Period compliance status of the listed entity is appended as below:-

(a) The listed entity has complied with the provisions of the above Regulations and Circulars/guidelines issued thereunder, **except in respect of matters specified below:-**

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action taken by	Type of Action	Details of violation	Fine Amount	Observations/ remarks of the Practicing Company Secretary, if any.	Management Response	Remarks
1.	Every listed entity is required to submit Secretarial Compliance report in such form as specified, to stock exchange within 60days from end of each financial year	Regulation 24A(2) of SEBI (LODR), 2015	The report was filed with a delay of 11 days	BSE (Stock Exchange)	Punitive Action	Delayed submission of Annual Secretarial Compliance Report	Rs. 21,240/- Company has paid the fine to the BSE	Company has filed the Annual Secretarial Compliance Report for the financial year ended on 31.03.2025 to BSE on 10.6.2025	The Company has filed ASCR Report for the Financial Year 2024-25 late by 9 days. And the Company has paid the fine of Rs.21240/-	
2.	Every listed entity is required to file Integrated Financial within 45 days from end of quarter and 60 days from end of last quarter and financial year	Regulation 23(9), 30, 33(3) of SEBI (LODR), 2015	The Integrated financials for the quarter ended 30.09.2025 was filed with a delay of 89 days	BSE (Stock Exchange)	Punitive Action	Delayed submission of Integrated financials for the quarter ended 30.09.2025	Penalty of Rs.5000 per day + GST from 15.11.2025 till the date of filing i.e. 11.02.2026 has been imposed but no payment has been made till date.	Company has filed the Integrated financials for the quarter ended 30.09.2025 to BSE on 11.02.2026	As Company is under Corporate Insolvency Resolution Process w.e.f. September 2, 2025 and the Interim Resolution Professional is performing the functions as mentioned under Insolvency and Bankruptcy Code (the Code). The Company has informed the Stock Exchange that the	

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									financial results for the quarter and half year ended September 30, 2025 are not yet ready, and consequently the Company is unable to file them within the prescribed timeline. Company has taken on record the UFR for the quarter ended September 30, 2025 on February 11, 2026.
3.	Any vacancy caused in the office of CEO, MD, Manager or CFO has to be filled by the listed entity at the earliest and in any case not later than 3 months from date of such vacancy	Regulation 26A(1) of SEBI (LODR), 2015	The office of CFO was vacant for more than 3 months after resignation of previous CFO Mr. Anuj Ohri	Delay in appointment of CFO	No action taken by any authority	Time line violation under regulation	As informed by management, no punitive action has been initiated till date.	Company has made delay to fill the vacancy of CFO for more than 3 months.	As the Company is under CIRP and all powers are vested with the Resolution Professional (RP). RP had interviewed no. of Candidates but due to CIRP proceedings Candidates had denied to join the Company. Lastly Committee of Creditors has appointed Company Secretary Mr. Umesh P Srivastava as CFO of the Company

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										w.e.f. April 16, 2026.
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(b) The listed entity has taken the following actions to comply with the observations made in previous reports: **No observation was made in the previous report.**

Sr. No.	Observations/ remarks of the Practicing Company Secretary (PCS) in previous reports	Observations made in the Secretarial Compliance Report for the year ended	Compliance Requirement (Regulations/ Circulars/ Guidelines including specific clause)	Details of Violation/Deviations and Actions Taken/ Penalty Imposed, if any on the listed entity	Remedial Actions, If any, Taken by the listed entity	Comments of the PCS on the action taken by the listed entity
1.	Directors are disqualified under section 164(2) of the Companies Act, 2013	31.03.2025	Section 164(2) of the Companies Act, 2013	Non-Compliance of Section 164 of the Companies Act, 2013	"As Company is under Corporate Insolvency Resolution Process w.e.f. September 2, 2025 and the Interim Resolution Professional is performing the functions as mentioned under Insolvency and Bankruptcy Code (the Code). Non-Compliance of Section 164 of the Companies Act, 2013 is still continued."	Non-Compliance of Section 164 of the Companies Act, 2013

We hereby report that, during the Review Period compliance status of the listed entity is appended as below:-

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
1.	<u>Secretarial Standards:</u> The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI).	Yes	The secretarial standards were not applicable to the company w.e.f. September 2, 2025 as Board of Directors were suspended under the provisions of Insolvency and Bankruptcy Code, 2016

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2.	<u>Adoption and timely updation of Policies:</u> <ul style="list-style-type: none"> All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities; All the policies are in conformity with SEBI Regulations and has been reviewed and timely updated as per the regulations /circulars/ guidelines issued by SEBI. 	NA	The Board of Directors were suspended under the provisions of Insolvency and Bankruptcy Code, 2016 pursuant to the admission of the application/petition under Section 7 of the Insolvency and Bankruptcy Code, 2016 and as per the order dated 2 nd September, 2025 passed by the Hon'ble National Company Law Tribunal, Mumbai bench.
3.	<u>Maintenance and Disclosure on Website:</u> <ul style="list-style-type: none"> The Listed entity is maintaining a functional website; Timely dissemination of documents/ information under a separate section on website; Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of website. 	Yes	-----
4.	<u>Disqualification of Director:</u> None of the directors of the company are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.	Yes	-----
5.	<u>Details related to subsidiaries of listed entities have been examined w.r.t.</u> (a) Identification of material subsidiary companies. (b) Disclosure requirement of material as well as other subsidiaries.	Yes	Listed Entity Doesn't have any subsidiary.
6.	<u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per policy of Preservation of Documents and Archival policy prescribed under SEBI (LODR) Regulation, 2015.	Yes	-----
7.	<u>Performance Evaluation:</u> The Listed entity has conducted performance of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	NA	The Board of Directors were suspended under the provisions of Insolvency and Bankruptcy Code, 2016 w.e.f. September 2, 2025.
8.	<u>Related Party Transactions:</u> (a) The Listed Entity has obtained prior approval of Audit Committee for all related party transaction.	No	The Board of Directors were suspended under the provisions of Insolvency and Bankruptcy Code, 2016 w.e.f. September 2, 2025.
	(b) In case no prior approval obtained, the listed entity shall provide details reason with confirmation whether the transaction were subsequently approved/ ratified/ rejected/ by the Audit Committee.	N.A.	


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9.	<u>Disclosure of events or information:</u> The Listed Entity has provided all the required disclosure(s) under regulation 30 along with Schedule III of SEBI (LODR) Regulations, 2015 with time limit prescribed thereunder.	Yes	----
10.	<u>Prohibition of Insider Trading:</u> The listed entity is in compliance with regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulation 2015.	Yes	----
11.	<u>Action taken by SEBI or Stock Exchange(s), if any:</u> The action taken against the Listed Entity/ its promoters/directors/subsidiaries either by SEBI or by Stock Exchange (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulation and circulars/guidelines issued thereunder are reported herein.	N.A	----
12.	<u>Resignation of statutory auditors from the listed entity or its material subsidiaries:</u> In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity or its material subsidiary (ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.	N.A	----
13.	<u>Additional Non-Compliance, if any:</u> No additional non-compliance observed for all SEBI regulation/ circular/ guidelines note etc. except as reported above.	NA	----

We further, report that the listed entity is in compliance/ not in compliance with the disclosure requirements of Employee Benefit Scheme Documents in terms of regulation 46(2) (za) of the LODR Regulations. **Not Applicable during the year under review.**

Assumptions & Limitation of Scope and Review:

- a. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
- b. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
- c. We have not verified the correctness and appropriateness of financial records and books of accounts of the listed entity.
- d. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

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and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For B.K. Gupta & Associates

Company Secretaries

Peer Review No: - 7890/2026

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(CS Bhupesh Gupta)

FCS No.:4590

CP No.: 5708

UDIN: -F004590H000558209

Place: - Ludhiana

Date: - 30.05.2026